# Planning for Stewardship Interventions and Appropriate Use of Antibiotics: Guidelines

Marcus Zervos, MD
Division Head, Infectious Diseases
Henry Ford Health System
Professor of Medicine
Wayne State University School of Medicine
Detroit, MI, USA

## Objectives

#### Objectives:

- Understand evidence based measures for appropriate use of antibiotics
- Understand planning for stewardship interventions/guidelines
- Understand facilitators, barriers for antiimicrobial stewardship in LMIC

## Areas where antibiotic use can be improved

- Overprescribing
- Too broad spectrum
- Too many antibiotics or fixed dose combinations
- Wrong dose, wrong interval, wrong route
- Wrong duration
- Too slow (not started soon enough)

## Intervention options

- Education
- Guidelines (include surgical, outpatient)
- Pre prescription review and restrictions
- Post prescription review (48 to 72 hrs)
- The "Time out" (48 to 72 hrs)
- Stop orders
- De escalation, redundant therapy
- IV to oral conversion
- Optimize dosing
- Ward rounds
- Audit and feedback
- Vendor restriction
- Use of EMR/ how IT can be of benefit
- Duration
- Allergy evaluation
- Regulatory

## Core Elements of Hosptial Antimicrobial Stewardship Programmes

- Senior hospital management leadership supports
  - Identified as a priority and included in key performance indicators
  - Has dedicated support, follow national or international standards
- Accountability and responsibilities
  - Hospital has a formal/written antimicrobial stewardship programme/strategy
  - Hospital has a formal organizational multidisciplinary structure
  - Healthcare professional identified as a leader, roles responsibilities clearly defined
- Available support and expertise on infection management
  - Access to timely laboratory/imaging/IT services
  - Access to, trained and experienced professionals in infection management
- Education and practical training
  - Hospital offers a range of educational resources
  - Antimicrobial stewardship team members receive regular training in antimicrobial prescribing and stewardship

## Core Elements of Hospital Antimicrobial Stewardship Programmes

- Formulary and Guidelines and Audit
  - Hospital has an antimicrobial formulary and if drugs are unrestricted, restricted
  - Hospital has recommendations for infection management
  - Hospital has a written policy that requires prescribers to document antimicrobial use
  - Antimicrobial stewardship team reviews/audits courses of therapy for specified antimicrobial agents or clinical conditions
  - Advice from antimicrobial stewardship team members is easily available to prescribers
  - Regular infection and antimicrobial prescribing focused ward rounds
- Hospital Monitoring and surveillance
  - Monitors quality/quantity of antimicrobial use at the unit and/or hospital wide level
  - Monitors compliance with specific interventions put in place by the stewardship team
  - Monitors antibiotic susceptibility rates for key bacteria

## Antimicrobial Stewardship in Low and Middle-Income Countries: The Contextualization

- Diagnostic challenges
  - High burden of infectious diseases and often limited laboratory capacity
- Knowledge and awareness
  - Health care workers prescribing antibiotics with different backgrounds, lack of information on antibiotic use. Guidelines limited by lack of locally applicable high level evidence.
- Access to quality assured antibiotics
  - Many LMIC have poorly regulated or limited access to essential antibiotics, non prescribed use, variable financial incentives
- Health care facilities often have limited infrastructure
  - Lack of infrastructure and equipment, availability of interdisciplinary personnel
- The road ahead
  - Local examples, initiatives at the facility and community level
  - National and international initiatives
  - WHO GAP sets out a roadmap needed to combat AMR
  - The WHO antimicrobial stewardship toolkit, plan for evaluation of feasibility in LMIC

### HFHS International AMR work

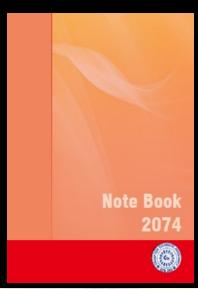
- South America, Nepal, Micronesia, India
- Post prescription optimization to reduce unneeded antibiotics



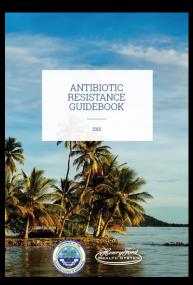




## Guidelines









## Guidelines

- Evidence based standard recommendations
- Based on
  - Local antibiotic resistance patterns (antibiogram)
  - What agents are available
  - What agents are cost effective
- Develop with broad base consensus
  - Develop as a process to involve others
- Available at point of care
- Keep it simple!!

## **Guideline Content**

- Empiric antibiotic selection
  - Organism and disease state specific
- Definitive antibiotic selection
  - Organism and disease state
- IV to oral conversion
- Renal dosing
- Duration of therapy

## Purpose of Guidelines

- Improve patient outcome & quality of care
- Reduce inappropriate variation on practice
- Encourage proven treatments
- Educate providers
- Improve efficiency of healthcare

## Outcomes of Guidelines

#### **Good Outcomes**

- Improve quality and consistency of care
- Reduce morbidity and mortality
- Improve health outcomes
- Minimize non-evidencebased, wasteful practice

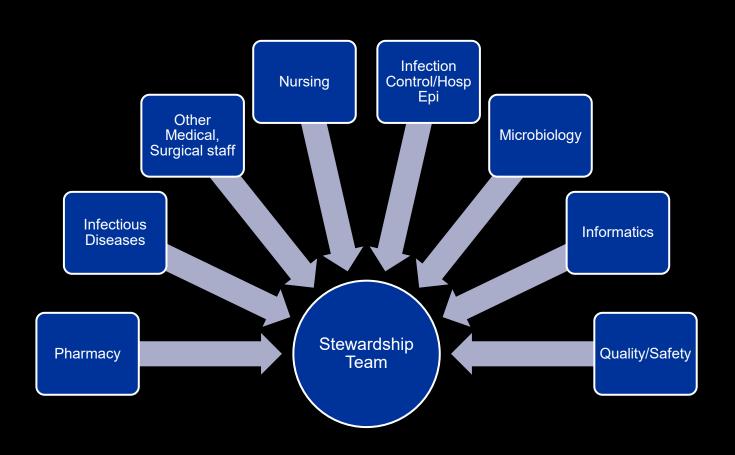
#### **Bad Outcomes**

- Cost increase with no improved effectiveness
- Time & resources directed away from other important priorities
- Compromised operating efficiency

## Difference between guideline/pathway

Characteristic	Guideline	Pathway
Scope	Generic recommendations	Details local structures, systems, and timeframe
Format	Flexible, may include a pathway	Diagram or flow-chart with defined decision-points
Resources	Literature review or external guideline Local data	Guideline or systematic review data Internal forms, processes, alert systems, committees, personnel

## Multidisciplinary Collaboration



## The Development Team- The Heart of your Guideline



## Building Your Guideline

- Prioritize
- Gather best data
- Assemble the team
- Make it pretty / formatting, parts
- Roll out
- Evaluate/measure

## Choosing your topic

- Conserve resources (high-cost agents, drug shortages)
- Local priorities (Microbiology/Infection Control targets, Medication Use Evaluation results)
- Inappropriate Variances (Core measures, Costs)
- Known interventions that improve outcome (documented evidence)
- Feasible (no new resources required)

## What Evidence Do You Need/Have?

Type Of Evidence	OPAT	Presumptive Candidiasis	Abx Restriction
National Guideline (or Cochrane review)	<b>✓</b>	<b>*</b>	
High quality RCT or meta-analysis			
Local Drug Utilization Pattern			
Local Microbiology			
Local Disease Incidence			

## Accessorize Important Elements of a Guideline

Key Decisions & Their Consequences

 Review of relevant evidence (Benefits, risks and costs)

Concise, Accessible Format

## How Do You Change Behavior?

Electronic alerts, forcing functions

Peer – to – peer education

Audit and feedback

Communicate, communicate, communicate

## Important Steps for Guideline Communication

- □ Alerts in order entry system/Other IT programming
- ☐ Memos, Newsletters
- □ Posters
- □ Grand rounds
- Huddles, informal departmental meetings
- □ When do you bring in the Public Relations experts?

## Monitor and Report

Specify metrics at initiation

- Feasible to show improvement
  - Measurable within existing infrastructure
  - Associated with strong evidence

- Reporting Structure
  - To whom?
  - How often?

## Proposed Guidelines

- Empiric Antibiotic Therapy
- Definitive Antibiotic Therapy
- Duration of Antibiotic Therapy by Indication
- IV to PO conversions
- Renal Dosing Guidelines

## Empiric Antibiotic Therapy-Community Acquired Pneumonia

Diagnosis	Suspected Pathogens	<b>Empiric Therapy</b>		
Pneumonia, community-	S. pneumoniae, H.	• Ceftriaxone +		
acquired	influenzae, Mycoplasma	azithromycin OR		
Inpatient therapy	sp., Chlamydophila sp.,	doxycycline		
	Legionella sp.	• Amoxicillin ± azithromycin		

Diagnosis	Suspected Pathogens	Empiric Therapy
Pneumonia, community- acquired Outpatient therapy	S. pneumoniae, H. influenzae, Mycoplasma sp., Chlamydophila sp., Legionella sp. (e.g. atypicals)	<ul> <li>Azithromycin PO</li> <li>For patients with comorbidities:</li> <li>Amoxicillin + azithromycin PO</li> </ul>

## Definitive Antibiotic Therapy

How often are cultures obtained?

Is this feasible?

## **Definitive Antibiotic Therapy**

Organism	Preferred Therapy (Confirm with susceptibilities)	Alternative Therapy (Depending on allergies and susceptibility)
Escherichia coli	ampicillin, cefazolin, trimethoprim/sulfamethoxazole or ciprofloxacin preferred for oral therapy	Preferred: ceftriaxone or gentamicin ceftazidime, cefepime, ciprofloxacin, piperacillin/tazobactam  Oral therapy options: amoxicillin/clavulanic acid,
		ciprofloxacin, nitrofurantoin (cystitis ONLY)
Extended spectrum beta- lactamase producer	Imipenem or meropenem, piperacillin/tazobactam (if urine source ONLY)	Trimethoprim/sulfamethoxazole
Haemophilus influenzae		
Beta-lactamase negative	ampicillin, amoxicillin	azithromycin, doxycycline, trimethoprim/sulfamethoxazole
Beta-lactamase positive	amoxicillin/clavulanic acid, ceftriaxone	

## **Duration of Treatment**

Treating infections longer is not always better

Diagnosis	Duration of Therapy
Intra-abdominal Infection,	4 days with adequate source control
community-acquired	
(Cholecystitis, cholangitis,	
diverticulitis)	

#### Intravenous to Oral Dose Conversion

#### For an intravenous to oral conversion, the following criteria must be met:

- Inclusion Criteria
- Patient is admitted to a non-intensive care unit (ICU)/general practice unit (GPU)
- Patient has received and is tolerating at least 1 dose of a medication administered enterally or is tolerating an enteral diet
- Patient has received the medication to be converted intravenously for at least 24 hours
- Exclusion Criteria
- Patient is admitted to an intensive care unit (ICU) (including ICU step-down or mixed ICU unit)
- Nonfunctioning gastrointestinal tract
  - Gastric obstruction or ileus
- Persistent nausea and vomiting
- Strict NPO (for a procedure or other medical reason)
- Patients receiving treatment for an active GI bleed

#### Intravenous to Oral Dose Conversion

- Inclusion Criteria Anti-Infectives
- Afebrile (T <38°C, 100.4°F) for at least 24 hours</li>
- Resolving/normalizing WBC (unless on oral or injectable steroids)
- Exclusion Criteria Anti-infectives
- Neutropenia (ANC <1000)</li>
- Endocarditis
- Meningitis or brain abscess
- Clostridium difficile infection
- S aureus bacteremia
- Feeding tubes with intestinal access only (applies to fluoroquinolones only)

## Antibiotic Renal dosing

How are antibiotics usually dosed?

Cockroft-Gault Creatinine Clearance Equation

```
    CrCL = (140- Age) x weight)
        (72 x SCr) x 0.85 (if female)
```

#### Antibiotic Renal Dosing - Amoxicillin

Creatinine Clearance (mL/minute)	Standard Regimen	Community-acquired pneumonia (in combination with a macrolide)
> 30	500 mg PO every 8 hours OR 875 mg PO every 12 hours	1000 mg PO every 8 hours
10 to 30	500 mg PO every 12 hours	1000 mg PO every 12 hours
< 10	500 mg PO every 24 hours	500 mg PO every 24 hours
Hemodialysis	500 mg PO every 24 hours, schedule after HD on HD days	500 mg PO every 24 hours, schedule after HD on HD days

## Christian Medical College

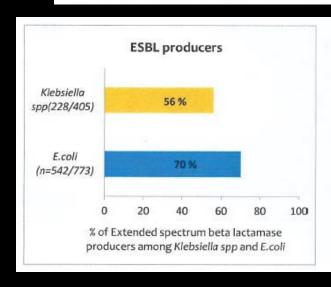
- Established in 1900
- 2300 bedded hospital
- OPD 8313 per day\*
- IP 2071 per day\*
- BMT 233\*
- Renal transplant -111\*
- ID dept
  - Annual outpatient visits 13046\*
  - Admissions 288\*

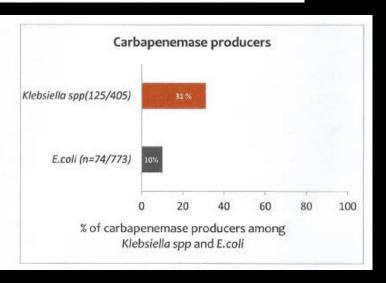




### CMC, Vellore Stewardship Initiative

A STATE OF THE PARTY OF THE PAR	Overall %	Child %	Adult %
MRSA (n = 104/267)	40	44.1	38.2
VRE (n = 41/262)	15.6	17.9	18.6
PNSP (n = 16/88)	18.18	22.2	17.14
		ESBL	
E.coli (n=542/773)	70	74	70
Klebsiella spp (n = 228/405)	56	52	61
		CRO	ALCO VALUE S
E.coli (n=74/773)	10	30	8
Klebsiella spp (n=125/405)	31	26	32





Results: 8.9% reduction in carbapenems, 9.0% reduction in colistin 9.5% reduction in days of antibiotics overall

### **Hospital Characteristics**

- Kathmandu Model Hospital
  - 125 inpatient-bed academic center
  - wide variety of clinical and diagnostic services
- Pokhara Academy of Health Sciences is a 500 bed government facility and 2nd largest hospital in Nepal. It is a referral hospital for the Western Region of Nepal and covers sixteen districts, including urban and rural areas
- Kirtipur Hospital
  - 100 inpatient-bed academic center
  - Burn and Plastic Surgery center









#### Implementation of a Post-Prescription Review and Feedback Model of Antimicrobial Stewardship in Kathmandu, Nepal

Gina Maki, DO1, Rajesh Dhoj Joshi, MD2, Nilesh Joshi, MPH3, Hind Hadid, MD1, Basudha Shrestha, PhD1, Shankar Rai, MD1, Deepak Bajracharya, MBA<sup>3</sup>, Kshitij Karki, MPH<sup>3</sup>, Erica Herc, MD<sup>1</sup>, Marcus Zervos, MD<sup>1</sup>, Tyler Prentiss, BA<sup>5</sup>, Linda Kaljee, PhD<sup>5</sup>

<sup>1</sup>Division of Infectious Diseases, Henry Ford Health System, Detroit, MI <sup>2</sup>Kathmandu Model Hospital, Kathmandu, Nepal <sup>3</sup>Group for Technical Assistance, Lalitpur, Nepal <sup>4</sup>Kirtipur Hospital, Kathmandu, Nepal

<sup>5</sup>The Global Health Initiative, Henry Ford Health System, Detroit, MI

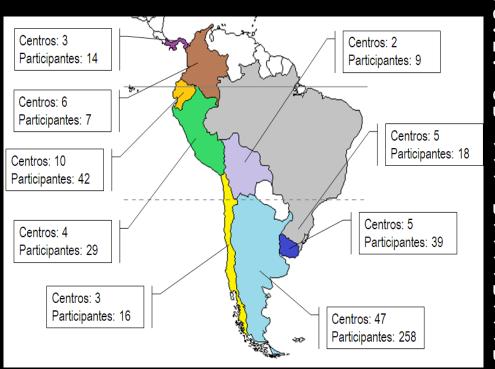
- Kathmandu Model, 451 patient charts were reviewed; 221 at baseline and 230 at post-intervention
  - Patients were hospitalized an average of 6.71 days during baseline vs 6.38 during intervention.
  - The mean days for cephalosporin were reduced from 2.8 days per course at baseline to 2.2 days at postintervention (p=0.026).
  - Accurate treatment rationale by physicians increased from baseline (60%) to post-intervention (71%) (p=0.016).
  - Definitive therapy recommendations increased from 49% to 60% (p=0.026).
- Kirtipur Hospital, 241 patients were reviewed: 128 at baseline and 113 at postintervention.
  - Justified antibiotic courses increased from 31% at baseline to 61% at post-intervention (p=<0.001).
  - Justified antibiotic use during the first 72 hours of therapy increased from 33% to 63% (p=<0.001).
- Overall, 108 (78.3%) of recommendations were followed by the prescribing physician.

Maki G et al ID week 2018



## Project for the Implementation and Evaluation of PROA In Latin American Adult Intensive Care Units

Quiros, RE; Maki G; Bardossy, AC; Aleman, RW; Angeleri, P; Carneiro, M; Castañeda, X; Cuellar, Luis; Guerra, A; Guerra, S Medina, J; Munita, J; Vega, S; Zurita, J; Prentiss, T; Escobar, E; Zervos, M. **Grupo Projeto PROA** 



Practical Implementation of Programs for Optimizing the Use of Antibiotics (PROA) in hospitals Objectives:

- To plan, develop, implement, monitor, and adjust a PROA Participants:
- Targeted to Regional Coordinators, Principal Investigators, and Co-Investigators.
   Evaluation: A 20 multiple-choice question exam per unit (>75% correct to pass)

#### Content:

- UNIT I: Infections associated with health care: preventing emergence and transmission of MMDR
- ✓ Infections associated with health care (IACS)
- ✓ MMDR: A growing problem
- ✓ Strategies for the prevention and control of the emergence and transmission of MMDR

**UNIT II:** Basic principles for the effective implementation of a PROA—

- ✓ Present state of the use of antibiotics
- ✓ Strategies to implement a PROA
- ✓ International experiences
- ✓ Impact of a PROA

UNIT III: Role of the Clinical Pharmacist as a member of a PROA

- ✓ Optimization of doses: principles (PK/PD)
- ✓ Detection of medical interactions
- ✓ Implementation of a system of hospital pharmacovigilance

UNIT IV: Role of the ID Doctor as a member of a PROA

- ✓ Adaptation of the clinical guidelines in an age of multi-resistant bacteria
- Descalation
- ✓ Combined antimicrobial treatment: What is the evidence telling us?

Exams: 209
Average
score: 90

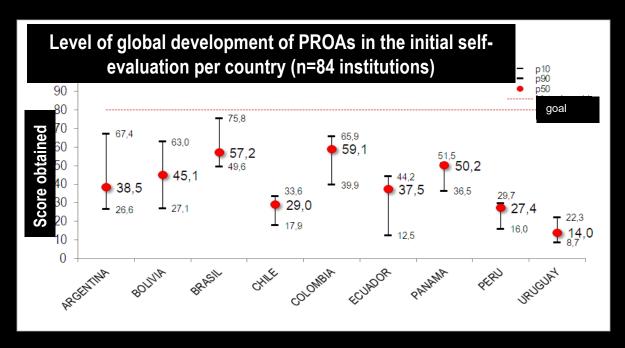
Exams: 202 Average

Exams: 198 Average score 92

Exams: 198 Average score: 92

Number of centers: 85 (9 countries); Total participants: 432





#### Trends in antibiotic\* use in hospital ICUs. PROA-LATAM project

Tuend	# of contor	Initial	DDDs c/100 días-paciente			Trend graph	Corr. Coefficient	$\mathbb{R}^2$
Trend	# of center	score	Jul 2018	Ago 2018	Sep 2018	(Ro)		
Reduction	26	41,4	106,3	89,5	78,7		-0,99	0,98
Maintenance	12	40,7	102,1	105,4	100,4		-0,34	0,12
Increase	24	41,8	77,6	93,1	117,6		0,99	0,98

<sup>\*</sup> Betalactams+inhib; 3rd and 4th gen. Cephalosporins; Monobactams; Carbapenems; Glycopeptides; Fluoroquinolones; Aminoglycosides



#### **Appropriateness: Daily prevalence**

Trends in indicators of appropriateness\* in hospital ICUs. PROA-LATAM

	proje	ect				
Indicator	Jul 2018	Ago 2018	Sep 2018	Trend graph (Ro)	Corr. Coefficient	R <sup>2</sup>
Validation of the indications per pharmacist	60,9%	67,5%	62,6%		0,25	0,06
Record in medical history	97,2%	97,7%	95,4%		-0,76	0,58
Adherence to guidelines	68,7%	75,6%	73,3%		0,65	0,42
Periodic revision with devolution	78,2%	81,6%	84,9%		1,00	1,00
Acceptance of the ID recommendation	88,3%	88,6%	85,9%		-0,82	0,68
One dose of aminoglycosides	85,7%	88,0%	91,3%		0,99	0,99

<sup>\*</sup> Rate of appropriateness: number of indications accomplishing the indicator x 100 / Total indications

#### Indicators de impact

#### Trends in indicators of impact\* in hospital ICUs. PROA-LATAM project

Indicator centers	# of	Jul 2018	Ago 2018	Sep 2018	Trend graph	Ro	R <sup>2</sup>
Infection with MDRO* (events every 1000 pt-days)	62	13,21	13,19	12,04		-0,88	0,77
Infection with <i>C. difficile</i> (event every 1000 pt-days)	48	0,49	0,06	0,38		-0,24	0,06
Net mortality (deaths every 100 discharges)	62	19,73	20,58	18,55		-0,58	0,33

<sup>\*</sup> MDRO: MRSA; VRE; ESBL; Enterobacteriacae resistant to carbapenems; *P. aeruginosa* resistant to carbapenems; *Acinetobacter spp* resistant to carbapenems

- The institutions value positively their participation in this type of project
- The success of this of projects depended on:
  - ✓ The consolidation of the team over time (ID doctor, clinical pharmacist, and microbiologist)
  - ▼ The leadership of the members
  - ▼ The support of senior management
- The loss of institutional support was the main barrier
- There is limited availability of qualified resources for the time required
- Limited Information Technology support

## WHO Antimicrobial Stewardship Programmes in Hospitals in Low and Middle-Income Countries a practical toolkit draft

- Developed as a draft toolkit by multiple stakeholders and experts
- The aim of an AMS programme is:
  - to promote optimal antibiotic treatment/prophylaxis to patients to improve the quality of health-care and outcomes;
  - to optimize the use of existing antibiotics, and thereby extend their lifespan;
  - to reduce the further development, selection and spread of AMR;
     and
  - to limit the adverse economic impact of AMR.

### Measures of Antimicrobial Use

- DDD per 1000 patient days
- DDD per admission
- Days of Therapy per 1000 patient days
- Proportion of DDD in ACCESS, WATCH, RESERVE and other categories
- Documented indication for use
- Stop/review date
- Compliance with guidelines (including surgical)
- Length of therapy
- 48-72 hour review
- Deescalation
- IV to oral switch

## WHO Aware Categorization of **Antibiotics**

#### ACCESS GROUP (29 antibiotics)

First and second choice antibiotics for the empiric treatment of most common/relevant infectious syndromes (21 syndromes).

First choices are usually narrow spectrum agents with positive benefit-to-risk ratios, and low resistance potential, whereas second choices are generally broader spectrum antibiotics with higher resistance potential, or less favorable benefit-to-risk ratios.

#### WATCH GROUP (7 antibiotic classes)

Antibiotics with higher resistance potential whose use as first and second choice treatment should be limited to a small number of syndromes or patient groups.

These medicines should be prioritized as key targets of stewardship programs and monitoring.

#### RESERVE GROUP (8 antibiotics or classes)

Antibiotics to be used mainly as 'last resort' treatment options that could be protected and prioritized as key targets of high-intensity stewardship programs.

#### ACCESS GROUP

ı	Amikacin	Cefalexin	Clarithromycin*	Nitrofurantoin
	Amoxicillin	Cefazolin	Clindamycin	Phenoxymethylpeni cillin
	Amoxicillin + clavulanic acid	Cefixime*	Cloxacillin	Piperacillin + tazobactam*
	Ampicillin	Cefotaxime*	Doxycycline	Procaine benzyl penicillin
ı	Azithromycin*	Ceftriaxone*	Gentamicin	Spectinomycin
	Benzathine benzylpenicillin	Chloramphenicol	Meropenem*	Sulfamethoxazole + trimethoprim
ı	Benzylpenicillin	Ciprofloxacin*	Metronidazole	Vancomycin*

#### WATCH GROUP

Quinolones and fluoroquinolones (e.g. ciprofloxacin, levofloxacin, moxifloxacin, norfloxacin

3rd-generation cephalosporins (with or without beta-lactamase inhibitor, e.g. cefixime, ceftriaxone, cefotaxime, ceftazidime)

Macrolides (e.g. azithromycin, clarithromycin, erythromycin)

Glycopeptides (e.g. teicoplanin, vancomycin)

Anti-pseudomonal penicillins with beta-lactamase inhibitor (e.g. piperacillin + tazobactam)

Carbapenems (e.g. meropenem, imipenem + cilastatin) and Penems (e.g. faropenem)

#### RESERVE GROUP

4th generation cephalosporins (e.g. cefepime)

Fosfomycin (IV)

Polymyxins (e.g. polymyxin B, colistin)

Daptomycin

5th generation cephalosporins (e.g. ceftaroline)

Oxazolidinones (e.g. linezolid)

Tigecyline

### **Assessment of Outcomes**

- In hospital mortality
- Lengh of stay
- Readmission at 30 days after discharge
- C difficile rate per 10,000 or 100,000 patient days
- MDRO rate

### Conclusion

- The implications of this project are local and global, findings will be disseminated in collaboration with WHO and regional and local.
- The global crisis on antimicrobial resistance is getting major international attention
- Our goal is that the WHO toolkit will serve as an important resource for LMIC in antimicrobial stewardship efforts
- We must pool our greatest resources—our imagination and intellect—to fight this collective fight. For as Joshua Lederberg noted, "Pitted against microbial genes, we have mainly our wits."